

*S. Steven*  
*JD2*  
12-3-01

H-1012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

T. ONO et al

Serial No.

Filed: September 28, 2001

For: MEMORY SYSTEM AND CONNECTING MEMBER

jc971 U.S. PTO  
09/064474  
09/26/01



**INFORMATION DISCLOSURE STATEMENT (IDS)**  
**UNDER § 1.97 AND § 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

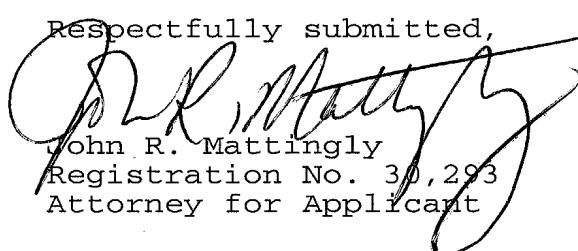
3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

5. No explanation of relevancy is being provided for the document listed in the attached PTO-1449 Form, because it is either in the English language and/or discussed in the present Specification.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

  
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Attorney for Applicant

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
H-1012

SERIAL NO.

LIST OF DOCUMENTS CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
T. ONO et alFILING DATE  
September 28, 2001

GROUP

U.S. 96414  
PTO  
10/28/01

## U.S. PATENT DOCUMENTS

| * EXAMINER INITIAL |    | DOCUMENT  | DATE   | NAME | CLASS | SUBCLASS | FILING DATE<br>(If different from above) |
|--------------------|----|-----------|--------|------|-------|----------|--|
|                    | AA | 5,191,404 | 3/2/93 | Wu   |       |          | 10/28/01                                 |
|                    | AB |           |        |      |       |          |  |
|                    | AC |           |        |      |       |          |  |
|                    | AD |           |        |      |       |          |  |
|                    | AE |           |        |      |       |          |  |
|                    | AF |           |        |      |       |          |  |
|                    | AG |           |        |      |       |          |  |
|                    | AH |           |        |      |       |          |  |
|                    | AI |           |        |      |       |          |  |
|                    | AJ |           |        |      |       |          |  |
|                    | AK |           |        |      |       |          |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT  | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES       | NO                       |
|--|----|-----------|----------|---------|-------|----------|--------------------------|--------------------------|
|  | AL | 10-3971   | 1/6/98   | Japan   |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AM | 11-40294  | 2/12/99  | Japan   |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AN | 10-335546 | 12/18/98 | Japan   |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AO | 8-314800  | 11/29/96 | Japan   |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AP |           |          |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| AR |  |  |
|    |  |  |
| AS |  |  |
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| AT |  |  |
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EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.